**E. VINAY** email**: vinay.jagannathan@gmail.com**

phone**: +91-99001-79326**

**EXPERIENCE SUMMARY:**

• A competent professional with 12 years of experience in Anti Money Laundering (AML) and Know Your Customer (KYC)

• Experience in KYC Due Diligence, Name Screening, Quality Assurance, Project Management, Compliance, KYC client On-boarding and KYC Periodic Review

• An effective communicator with strong implementation, interpersonal and people management skills

**Educational Qualification:**

Apr ’00 to Apr ‘04 **Government Ramnarayan Chellaram College of Commerce and Management**

Bachelor of Commerce (B. Com) affiliated with Bangalore University

**Professional Experience:**

June’14 to till date **Société Générale Global Solution Center** (Bangalore, INDIA)

**As an Operations Manager**

* Managing a team of 14 members
* Performing periodic review for SG institutional clients across regions
* Support various remediation projects on client documents to bring them up to current regulatory standards
* Maintain effective relationships with front office, compliance team, senior management and front office teams
* Mentoring team members pertaining to training gaps and new procedural updates
* Communicate and follow up on issues and potential issues with stakeholders in a clear, concise and timely manner
* Performing one-to-one with team members and sharing the areas of improvement to meet the standards
* Identifying and suggesting process improvement which increases the overall efficiency of the process
* Prepare and analyse reports, capacity dashboard, SOP’s and other process manuals
* Coordinate with project teams to develop Smart Intelligence and Automation tools which helps to improve the process

Aug ’11 to May’14 **Credit Suisse** (Pune, INDIA)

**As a Compliance Officer (ENO), KYC Client Onboarding**

Responsible for onboarding Institutional clients, approve periodic review requests and performing transaction monitoring checks.

* Managing a team of 6 members
* Evaluating new customer (High, Medium and Low categorized clients) account documentations and supporting information
* Perform customer due diligence and enhance due diligence screening on the clients and associated party names using tools such as World Check and Lexis Nexis
* Maintain effective relationships with front office, compliance team, senior management and middle office teams
* Adherence the standards set out on client categorization by Markets in Financial Instruments Directive while on-boarding the client
* Prepare and analyse reports, SOP’s and process manuals

Oct ‘08 to Jul ‘11 **TATA Consultancy Services Ltd** (Bangalore, INDIA)

**As a Process Executive, KYC Client Onboarding for Deutsche Bank**

Responsible for onboarding US institutional client reviews for all risk type (Low, Medium & High). The NCA process is governed by the US Patriot Act Anti-Money Laundering rules, regulations & Deutsche Bank Know Your Customer Policies & procedures.

* Researching the clients from the DB approved sites, company websites, stock exchanges, regulatory bodies
* Review of public domain searches like Regulatory Data Corp (RDC) and Preventive Crime Research (PCR)
* Maintain KYC/ Customer Identification Program (CIP) to ensure compliance with laws of relevant jurisdictions as well as DB polices
* Escalating of high risk/ negative information to compliance
* Responsible to perform Quality Checks and ensure with compliance standards and regulatory requirements on the documentation received for New Client Adoption
* To verify and assure that the DB customer is not on any list of known fraudsters, terrorists or money launderers
* To ensure 100% SLA in terms of production & quality

Dec ‘06 to Oct ‘08 **SPAN Infotech (India) Pvt. Ltd operates as a subsidiary of EVRY India Pvt. Ltd** (Bangalore, INDIA)

**As a Process Support Executive**

SPAN specializes in the outsourcing of business processes including application help desk support and data entry management services.

**HURON Consulting Group (Electronic Data Discovery - EDD)**

Our Client is one of the fast-moving organizations that specialize in large, complex electronic data discovery and imaging projects, Pre-project design and planning, forensics and data collection, expert production and responsive customer service.

* Working with a team of 10 Support Executives
* Project coordination and day-to-day interaction with team members on tasks and timely completion
* Timely Escalation of the Project related issues as per the process
* Process documentation which includes Updating additions and deletions

**Personal Details:**

**Date of Birth :** 01-06-1982

**Languages Known :** English, Hindi, Kannada, Tamil and Telugu

**Address** **:** E. Vinay

S/o, E.P. Jagannathan

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